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**FACSIMILE TRANSMITTAL**

**TO**

**Name:** Examiner Fleurentin                    **Phone No.:** 703 308 6718  
**Firm:** U.S. Patent and Trademark Office        **Date:** October 10, 2002  
**Fax No.:** 703 746 5625  
**Subject:** Serial No. 10/015,801

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**FROM**

**Name:** Elizabeth A. Niemeyer                    **Phone No.:** (202) 408 4238  
**Fax # Verified by:** H.P. Gray                    **# Pages (incl. this):** 15  
**Our File No.:** 06502.0054-01

**Confirmation Copy to Follow: No**

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**Message:**

As requested by your telephone call to Jeffrey Berkowitz at Finnegan, Henderson, please find attached a copy of the Preliminary Amendment filed in the U.S. Patent and Trademark Office on December 17, 2001 for U.S. Patent Application No.: 10/015,801.

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PATENT

Customer No. 22,852

Attorney Docket No. 06502.0054-01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Ann M. WOLLRATH et al. ) Group Art Unit: Not Yet Assigned  
1.53(b) Divisional of ) Examiner: Not Yet Assigned  
Serial No 09/044,933, filed )  
March 20, 1998 )  
Filed Herewith )  
For: METHOD AND APPARATUS FOR  
TRANSPORTING BEHAVIOR IN  
AN EVENT-BASED  
DISTRIBUTION SYSTEM

Commissioner for Patents and Trademarks  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

Prior to the examination of the above application, please amend this application as follows:

**IN THE SPECIFICATION:**

Please amend the specification as follows:

Please insert the following new paragraph before the first line:

This is a division of application Serial No. 09/044,933, filed March 20, 1998,  
incorporated herein by reference.

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